



Conflict of Interest Policy of the Pi Upsilon Lambda Charitable Foundation

Adopted by the Board of Directors on April 8, 2024

1. Purpose

The purpose of this Conflict of Interest Policy ("Policy") is to ensure that the Pi Upsilon Lambda Charitable Foundation ("Foundation") conducts its affairs with integrity, transparency, and accountability, and to provide guidance to its directors, officers, employees, and volunteers regarding conflicts of interest.

2. Definitions

For the purposes of this Policy:

"Conflicts of Interest" shall mean any situation in which a director, officer, or volunteer of the Foundation has competing personal, professional, or financial interests that may compromise their judgment or objectivity in fulfilling their duties to the Foundation.

"Interested Party" shall mean any director, officer, or volunteer of the Foundation who has a conflict of interest, as defined herein.

3. Disclosure

All directors, officers, and volunteers of the Foundation shall promptly disclose in writing any actual or potential conflicts of interest to the Board of Directors or its designated committee. Such disclosure shall include all relevant facts about the conflict of interest, including any existing or proposed transactions or relationships that may give rise to the conflict.

4. Review and Recusal

Upon receipt of a disclosure of a conflict of interest, the Board of Directors or its designated committee shall review the disclosed conflict and determine whether it constitutes a conflict of interest under this Policy. If a conflict of interest is determined to exist, the interested party shall recuse themselves from any discussion or decision-making process regarding the matter in question.

5. Documentation

The Foundation shall maintain records of all disclosures of conflicts of interest and actions taken in response thereto, including minutes of meetings where conflicts were disclosed, and any resolutions adopted by the Board of Directors or its designated committee.

6. Procedures

In the event that the Board of Directors or its designated committee determines that a conflict of interest may exist, it shall establish appropriate procedures to address the conflict, which may include, but are not limited to:

- Prohibiting or limiting the interested party's participation in discussions or decisions relating to the conflict.
- Requiring the interested party to abstain from voting on any matter relating to the conflict.
- Imposing conditions or restrictions on any transaction or relationship giving rise to the conflict.
- Seeking independent legal, financial, or other professional advice regarding the conflict.
- The conflict will be noted and documented as appropriate including within any appropriate minutes or record keeping by the board and/or its officers.

7. Annual Review

The Board of Directors or its designated committee shall review this Policy annually and make any necessary revisions to ensure its effectiveness and compliance with applicable laws and regulations.

8. Compliance

All directors, officers, and volunteers of the Foundation shall comply with this Policy and cooperate fully with any inquiries or investigations into potential conflicts of interest.

9. Enforcement

Violation of this Policy may result in disciplinary action, up to and including removal from office or termination of volunteer status, as determined by the Board of Directors in its sole discretion.

10. Acknowledgment

All directors and officers of the Foundation shall acknowledge receipt of this Policy and their understanding of its provisions upon assuming their respective roles with the Foundation or if the Policy is revised by the Board of Directors.

11. Accessibility

The policy will be made available for public access and review.



Pi Upsilon Lambda Charitable Foundation
Conflict of Interest Disclosure Statement

By signing below, I affirm that:

1. I have received and read a copy of the Conflict of Interest and Compensation Policy.
2. I agree to comply with the policy.
3. I have no actual or potential conflicts as defined by the policy or if I have, I have previously disclosed them as required by the policy or am disclosing them below.

Disclose here, to the best of your knowledge:

1. any entity in which you participate (as a director, officer or stakeholder) with which the Corporation has a relationship;
2. any transaction in which the Corporation is a participant as to which you might have a conflicting interest; and
3. any other situation which may pose a conflict of interest.

Name:

Position:

Signature:

Date: